

## ANNEX 2

## STANDARD FORM FOR THE EUROPEAN SINGLE PROCUREMENT DOCUMENT (ESPD)

**Part I: Information concerning the procurement procedure and the contracting authority or contracting entity**

For procurement procedures in which a call for competition has been published in the *Official Journal of the European Union*, the information required under Part I will be automatically retrieved, provided that electronic ESPD service <sup>(1)</sup> is used to generate and fill in the ESPD. Reference of the relevant notice <sup>(2)</sup> published in the *Official Journal of the European Union*:

OJEU S number [ ], date [ ], page [ ],

Notice number in the OJ S: [ ][ ][ ][ ][ ]/S [ ][ ][ ][ ]-[ ][ ][ ][ ][ ][ ][ ][ ]

If there is no call for competition in the OJEU, then the contracting authority or contracting entity must fill in the information allowing the procurement procedure to be unequivocally identified:

In case publication of a notice in the Official Journal of the European Union is not required, please give other information allowing the procurement procedure to be unequivocally identified (e.g., reference of a publication at national level): [...]

## INFORMATION ABOUT THE PROCUREMENT PROCEDURE

The information required under Part I will be automatically retrieved, provided that the above-mentioned electronic ESPD service is used to generate and fill in the ESPD. If not, this information must be filled in by the economic operator.

<b>Identity of the procurer <sup>(3)</sup></b>	National Research Council - National Institute of Optics (CNR - INO)  Sede Secondaria di Sesto Fiorentino  Via Nello Carrara, 1, 50019 – Sesto Fiorentino (FI)
Name:  Tax identification number	
<b>Which procurement is concerned?</b>	Payment of Article Processing Fee
Title or short description of the procurement <sup>(4)</sup> :	Frontiers Invoice 2024-1169489-1  2/2 x Article Processing Fee  Title “Group ICA of wide-field calcium imaging data reveals the retrosplenial cortex as a major contributor to cortical activity during anesthesia”, by Alessandro Scaglione*, Francesco Resta*, Francesco Goretti and Francesco S. Pavone, published in “Frontiers in Cellular Neuroscience-Cellular Neurophysiology”.

(1) Commission services will make the electronic ESPD service available, free of charge, for contracting authorities, contracting entities, economic operators, providers of electronic services and other interested parties.

(2) For **contracting authorities**: either a **Prior Information Notice** used as a means for calling for competition or a **Contract Notice**. For **contracting entities**: a **Periodic Indicative Notice** used as a means for calling for competition, a **Contract Notice** or a **Notice on the Existence of a Qualification System**.

(3) Information to be copied from Section I, Point I.1, of the relevant notice. In case of joint procurement, please indicate the names of all involved procurers.

(4) See points II.1.1 and II.1.3 of the relevant notice.

File reference number attributed by the contracting authority or contracting entity (if applicable) <sup>(5)</sup> :	
CIG (Tender Identification Code): CUP (Unique Project Code): Project code (if the project is funded or co-funded by the European Union)	..... B51E22000150006 [ ]

**All other information in all sections of the ESPD is to be filled in by the economic operator**

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<sup>(5)</sup> See point II.1.1 of the relevant notice.

## PART II: Information concerning the economic operator

### A: INFORMATION CONCERNING THE ECONOMIC OPERATOR

<b>Identification</b>	<b>Answer:</b>
Name:	Frontiers Media SA
VAT number, if applicable: If no VAT number is applicable, please indicate another national identification number, if required and applicable	CHE-114.168.540-TVA
Postal address:	Avenue du Tribunal-Fédéral 34, 1005 Lausanne, Switzerland
Contact person or persons <sup>(6)</sup> : Telephone: Email or PEC (certified email): Internet address (web address) (if applicable):	Frontiers accounting department +41 (0) 21 510 17 00 accounting@frontiersin.org https://www.frontiersin.org
<b>General information:</b>	<b>Answer:</b>
Is the economic operator a Micro, a Small or a Medium-Sized Enterprise <sup>(7)</sup> ?	No
<b>Only in case the procurement is reserved <sup>(8)</sup>:</b> is the economic operator a sheltered workshop, a 'social business' <sup>(9)</sup> or will it provide for the performance of the contract in the context of sheltered employment programmes (Article 112 of the Code)?  <b>If yes,</b>  what is the corresponding percentage of disabled or disadvantaged workers?  If required, please specify which category or categories of disabled or disadvantaged workers the employees concerned belong to:	No    N/A  N/A
If applicable, is the economic operator registered on an official list of approved economic operators or does it have certification issued by an accredited body, pursuant to Article 90 of the Code?  <b>If yes:</b>  <b>Please answer the remaining parts of this Section, Sections B and, where relevant, C of this Part, complete Part III, Part V, where applicable, and, in any case, fill in and sign Part VI.</b>  a) Please provide the name of the list or certificate and the relevant registration or certification number, if applicable:  b) If the certificate of registration or certification is available electronically, please state:	No (foreign entity)    a) [.....]  b) (web address, issuing authority or body, precise reference of the documentation):  [.....][.....][.....][.....]

<sup>(6)</sup> Please repeat the information concerning contact persons as many times as needed.

<sup>(7)</sup> Cf. Commission Recommendation of 6 May 2003 concerning the definition of micro, small and medium-sized enterprises (OJ L 124, 20.5.2003, p. 36). This information is required for statistical purposes only.

**Micro enterprises:** enterprise which employs fewer than 10 persons and whose annual turnover and/or annual balance sheet total does not exceed EUR 2 million.  
**Small enterprises:** an enterprise which employs fewer than 50 persons and whose annual turnover and/or annual balance sheet total does not exceed EUR 10 million.

**Medium enterprises:** enterprises which are neither micro nor small and which employ fewer than 250 persons and which have an annual turnover not exceeding EUR 50 million, and/or an annual balance sheet total not exceeding EUR 43 million.

<sup>(8)</sup> See contract notice point III.1.5.

<sup>(9)</sup> I.e. its main aim is the social and professional integration of disabled or disadvantaged persons.

<p>c) Please state the references on which the registration or certification is based and, where applicable, the classification obtained in the official list <sup>(10)</sup>:</p> <p>d) Does the registration or certification cover all of the required selection criteria?</p> <p><b>If no:</b></p> <p><b>In addition, please complete the missing information in Part IV, Sections A, B, C or D as the case may be.</b></p> <p><b>ONLY if required in the relevant notice or the procurement documents:</b></p> <p>e) Will the economic operator be able to provide a <b>certificate</b> with regard to the payment of social security contributions and taxes or provide information enabling the contracting authority or contracting entity to obtaining it directly by accessing a national database in any Member State that is available free of charge?</p> <p>If the relevant documentation is available electronically, please indicate:</p>	<p>c) [.....]</p> <p>d) N/A</p> <p>e) Yes</p> <p>No</p>
<p>If applicable, in the event of public procurement contracts with a value exceeding EUR 150,000, is the economic operator in possession of certification issued by a Certifying Body (SOA) in accordance with Article 84 of the Code (ordinary sectors)?</p> <p>or,</p> <p>is the economic operator in possession of certification issued under the Qualification Systems referred to in Article 134 of the Code for special sectors?</p> <p><b>If yes:</b></p> <p>a) Please provide the details of the certification (name of the Certifying Body or Qualification System, number and date of the certification)</p> <p>b) If the qualification certification is available electronically, please indicate:</p> <p>c) Please state, where relevant, the qualification categories to which the certification relates:</p> <p>d) Does the qualification certification cover all of the required selection criteria?</p>	<p>N/A</p> <p>N/A</p> <p>a) [.....]</p> <p>b) (web address, issuing authority or body, precise reference of the documentation): [.....][.....][.....][.....]</p> <p>c) [.....]</p> <p>d) N/A</p>
<p><b>Please note that the economic operators appearing in the lists referred to in Article 90 of the Code or in possession of qualification certification issued by a Certifying Body (for contracts with a value exceeding EUR 150,000), as referred to in Article 84, or in possession of certification issued under a Qualification System referred to in Article 134 of the Code are not required to complete Sections B and C of Part IV.</b></p>	
<b>Form of participation:</b>	<b>Answer:</b>
Is the economic operator participating in the procurement procedure together with others <sup>(11)</sup> ?	No
<p><b>If yes, please ensure that the others concerned provide a separate ESPD form.</b></p>	
<p><b>If yes:</b></p> <p>a) Please indicate the role of the economic operator in the group, consortium, EEIG or business network as referred to in Article 45, paragraph 2, letters d), e), f) and g) and Article 46, paragraph 1, letters a), b), c), d) and e) of the Code (leader, responsible for specific tasks, etc.):</p> <p>b) Please identify the other economic operators participating in the procurement procedure together:</p> <p>c) Where applicable, name of the participating group:</p>	<p>N/A</p> <p>a): [.....]</p> <p>b): [.....]</p> <p>c): [.....]</p> <p>d): [.....]</p>

<sup>(10)</sup> The references and the classification, if any, are set out on the certification.

<sup>(11)</sup> Notably as part of a group, consortium, joint venture or similar.

d) Where applicable, name of the economic operators who are part of a consortium as referred to in Article 45, paragraph 2, letters b) and c), or of a professional firm as referred to in Article 46, paragraph 1, letter f) that perform the services covered by the contract.	
<b>Lots</b>	<b>Answer:</b>
Where applicable, indication of the lot(s) for which the economic operator wishes to tender:	N/A

**B: INFORMATION ABOUT REPRESENTATIVES OF THE ECONOMIC OPERATOR**

*Where applicable, please indicate the name(s) and address(es) of the person(s) empowered to represent the economic operator for the purposes of this procurement procedure. If there are several legal representatives, please repeat as many times as needed.*

<b>Representation, if any:</b>	<b>Answer:</b>
Full name; accompanied by the date and place of birth, if required:	Henry John Markram; Born on 28 March 1962 in South Africa
Position/Acting in the capacity of:	Chairperson
Postal address:	c/o Frontiers Media SA Avenue du Tribunal-Fédéral 34 1005 Lausanne Switzerland
Telephone:	+41 (0) 21 510 17 00
Email:	legal.team@frontiersin.org
If needed, please provide detailed information on the representation (its forms, extent, purpose, joint signature):	Joint signatures of any two signatories.
<b>Representation, if any:</b>	<b>Answer:</b>
Full name; accompanied by the date and place of birth, if required:	Kamila Romana Markram; Born on 23 December 1975 in Wroclaw (Poland).
Position/Acting in the capacity of:	Chief Executive Officer
Postal address:	c/o Frontiers Media SA Avenue du Tribunal-Fédéral 34 1005 Lausanne Switzerland
Telephone:	+41 (0) 21 510 17 00
Email:	legal.team@frontiersin.org
If needed, please provide detailed information on the representation (its forms, extent, purpose, joint signature):	Joint signatures of any two signatories.
<b>Representation, if any:</b>	<b>Answer:</b>
Full name; accompanied by the date and place of birth, if required:	Giovanni Lippi; Born on 17 December 1967 in Viareggio (Italy)
Position/Acting in the capacity of:	Chief Financial Officer
Postal address:	c/o Frontiers Media SA Avenue du Tribunal-Fédéral 34 1005 Lausanne Switzerland
Telephone:	+41 (0) 21 510 17 00
Email:	legal.team@frontiersin.org
If needed, please provide detailed information on the representation (its forms, extent, purpose, joint signature):	Joint signatures of any two signatories.

<b>Representation, if any:</b>	<b>Answer:</b>
Full name; accompanied by the date and place of birth, if required:	Mustafa Mehmet Can Toral; Born on 22 February 1982 in London (UK)
Position/Acting in the capacity of:	Chief Corporate Officer
Postal address:	c/o Frontiers Media SA Avenue du Tribunal-Fédéral 34 1005 Lausanne Switzerland
Telephone:	+41 (0)21 510 17 00
Email:	legal.team@frontiersin.org
If needed, please provide detailed information on the representation (its forms, extent, purpose, joint signature):	Joint signatures of any two signatories.
<b>Representation, if any:</b>	<b>Answer:</b>
Full name; accompanied by the date and place of birth, if required:	Marc Julian Oei Born on 6 May 1972 in Frankfurt am Main (Germany)
Position/Acting in the capacity of:	President & Chief Business Officer
Postal address:	c/o Frontiers Media SA Avenue du Tribunal-Fédéral 34 1005 Lausanne Switzerland
Telephone:	+41 (0)21 510 17 00
Email:	legal.team@frontiersin.org
If needed, please provide detailed information on the representation (its forms, extent, purpose, joint signature):	Joint signatures of any two signatories.

**C: INFORMATION ABOUT RELIANCE ON THE CAPACITIES OF OTHER ENTITIES (Article 89 of the Code – *Avvalimento*)**

<b>Reliance:</b>	<b>Answer:</b>
Does the economic operator rely on the capacities of other entities in order to meet the selection criteria set out under Part IV and the criteria and rules (if any) set out under Part V below?	No
<b>If yes:</b>	
Please indicate the name of the entities on whose capacities the economic operator intends to rely:	[.....]
Please state the requirements to be met through reliance on other entities:	[.....]
<p><b>If yes,</b> please indicate the name of the entities on whose capacities the economic operator intends to rely and the requirements to be met through reliance on other entities. Please provide a separate ESPD form setting out the information required under <b>Sections A and B of this Part, Part III, Part IV and, where applicable, Part VI</b> for each of the auxiliary undertakings, duly filled in and signed by the entities concerned.</p> <p>Please note that this should also include any technicians or technical bodies, not belonging directly to the economic operator's undertaking, especially those responsible for quality control and, in the case of public works contracts, the technicians or technical bodies upon whom the economic operator may call in order to carry out the work.</p>	

**D: INFORMATION CONCERNING SUBCONTRACTORS ON WHOSE CAPACITY THE ECONOMIC OPERATOR DOES NOT RELY (ARTICLE 105 OF THE CODE – SUBCONTRACTING)**

**(Section to be filled in only if this information is explicitly required by the contracting authority or contracting entity.)**

<b>Subcontracting:</b>	<b>Answer:</b>
Does the economic operator intend to subcontract any share of the contract to third parties?	No
<b>If yes:</b>	
Please list the services or works that are to be subcontracted and the relevant proportion (expressed as a percentage) of the contract value:	[.....] [.....]
Where the conditions referred to in Article 105, paragraph 6 of the Code apply, please indicate the name of the subcontractors proposed:	[.....]

If the contracting authority or contracting entity explicitly requests this information in addition to the information under this section, each of the subcontractors (or categories of subcontractors) concerned must fill in a separate ESPD form with the information required under Sections A and B of this Part, Part III, Part IV and, where applicable, Part VI.

### PART III: EXCLUSION GROUNDS (Article 80 of the Code)

#### A: GROUNDS RELATING TO CRIMINAL CONVICTIONS

Article 57(1) of Directive 2014/24/EU sets out the following grounds for exclusion (Article 80, paragraph 1 of the Code):

1. Participation in a criminal organisation <sup>(12)</sup>
2. Corruption <sup>(13)</sup>
3. Fraud <sup>(14)</sup>;
4. Terrorist offences or offences linked to terrorist activities <sup>(15)</sup>;
5. Money laundering or terrorist financing <sup>(16)</sup>;
6. Child labour and other forms of trafficking in human beings <sup>(17)</sup>

#### CODE

7. Any other crime which would give rise, as an additional penalty, to a ban on contracting with the public administration (Article 80, paragraph 1, letter g) of the Code).

<b>Grounds relating to criminal convictions under national provisions implementing the grounds set out in Article 57(1) of the Directive</b> (Article 80, paragraph 1 of the Code):	<b>Answer:</b>
Have any of the persons referred to in Article 80, paragraph 3 of the Code been the subject of a <b>conviction by final judgement</b> , or an irrevocable criminal decree of conviction, or a judgment entailing the application of the penalty on request pursuant to Article 444 of the Italian Code of Criminal Procedure, for one of the reasons listed above, rendered at the most five years ago or, regardless of the date, in which an exclusion period set out directly in the conviction, or inferable under Article 80, paragraph 10, continues to be applicable?	<p>No</p> <p>If the relevant documentation is available electronically, please indicate: (web address, issuing authority or body, precise reference of the documentation):</p> <p>[.....][.....][.....][.....] <sup>(18)</sup></p>
<b>If yes, please indicate <sup>(19)</sup>:</b>	
<p>a) Date of conviction, irrevocable criminal decree of conviction, or judgment entailing the application of the penalty on request, length, offence committed from among those indicated in Article 80, paragraph 1, letters a) to g) of the Code, and reason(s) for the conviction,</p> <p>b) Identify who has been convicted [ ];</p> <p>c) Insofar as the length of the additional penalty is established directly in the conviction:</p>	<p>a) Date: [ ], length [ ], letter paragraph 1, Article 80 [ ], reason(s): [ ]</p> <p>b) [.....]</p> <p>c) Length of the period of exclusion [.....], letter paragraph 1, Article 80 [ ]</p>
In case of convictions, has the economic operator taken measures to demonstrate its reliability despite the existence of a relevant ground for exclusion <sup>(20)</sup> ( <b>'Self-Cleaning', see Article 80, paragraph 7</b> )?	[ ] Yes [ ] No

<sup>(12)</sup> As defined in Article 2 of Council Framework Decision 2008/841/JHA of 24 October 2008 on the fight against organised crime (OJ L 300, 11.11.2008, p. 42).

<sup>(13)</sup> As defined in Article 3 of the Convention on the fight against corruption involving officials of the European Communities or officials of Member States of the European Union (OJ C 195, 25.6.1997, p. 1) and in Article 2(1) of Council Framework Decision 2003/568/JHA of 22 July 2003 on combating corruption in the private sector (OJ L 192, 31.7.2003, p. 54). This exclusion ground also includes corruption as defined in the national law of the contracting authority (contracting entity) or of the economic operator.

<sup>(14)</sup> Within the meaning of Article 1 of the Convention on the protection of the European Communities' financial interests (OJ C 316, 27.11.1995, p. 48).

<sup>(15)</sup> As defined in Articles 1 and 3 of Council Framework Decision of 13 June 2002 on combating terrorism (OJ L 164, 22.6.2002, p. 3). This exclusion ground also includes inciting or aiding or abetting or attempting to commit an offence, as referred to in Article 4 of that Framework Decision.

<sup>(16)</sup> As defined in Article 1 of Directive 2005/60/EC of the European Parliament and of the Council of 26 October 2005 on the prevention of the use of the financial system for the purpose of money laundering and terrorist financing (OJ L 309, 25.11.2005, p. 15).

<sup>(17)</sup> As defined in Article 2 of Directive 2011/36/EU of the European Parliament and of the Council of 5 April 2011 on preventing and combating trafficking in human beings and protecting its victims, and replacing Council Framework Decision 2002/629/JHA (OJ L 101, 15.4.2011, p. 1).

<sup>(18)</sup> Please repeat as many times as needed.

<sup>(19)</sup> Please repeat as many times as needed.

<sup>(20)</sup> In accordance with national provisions implementing Article 57(6) of Directive 2014/24/EU.



<p><b>If yes, indicate:</b></p> <p>1) Did the final judgment recognise collaboration as a mitigating factor as defined for each specific offence?</p> <p>2) Did the final judgment impose a custodial sentence not exceeding 18 months?</p> <p>3) If the answer to 1) and/or 2) is yes, have the persons referred to in Article 80, paragraph 3 of the Code:</p> <ul style="list-style-type: none"> <li>- fully compensated the damage?</li> <li>- formally undertaken to compensate the damage?</li> </ul> <p>4) In scenarios 1) and 2), has the economic operator put in place the appropriate technical, organisational and staff management measures to prevent any further offences?</p> <p>5) If the convictions were handed down to persons who no longer hold their position pursuant to Article 80, paragraph 3, please describe the measures taken demonstrating the complete and effective dissociation from the criminal conduct:</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes, please list the relevant documentation <input type="checkbox"/> and, if available electronically, please indicate: (web address, issuing authority or body, precise reference of the documentation):</p> <p>[.....][.....][.....][.....]</p> <p>[.....]</p>
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**B: GROUNDS RELATING TO THE PAYMENT OF TAXES OR SOCIAL SECURITY CONTRIBUTIONS**

<b>Payment of taxes or social security contributions</b> (Article 80, paragraph 4 of the Code):	<b>Answer:</b>	
Has the economic operator met all <b>its obligations relating to the payment of taxes or social security contributions</b> , both in the country in which it is established and in the Member State of the contracting authority or contracting entity if other than the country of establishment?	Yes	
<b>If not</b> , please indicate:	<b>Taxes</b>	<b>Social security contributions</b>
a) Country or Member State concerned	a) [.....]	a) [.....]
b) What is the amount concerned?	b) [.....]	b) [.....]
c) How has this breach of obligations been established:		
1) Through a judicial or administrative <b>decision</b> :	c1) <input type="checkbox"/> Yes <input type="checkbox"/> No	c1) <input type="checkbox"/> Yes <input type="checkbox"/> No
- Is this decision final and binding?	- <input type="checkbox"/> Yes <input type="checkbox"/> No	- <input type="checkbox"/> Yes <input type="checkbox"/> No
- Please indicate the date of conviction or decision.	- [.....]	- [.....]
- In case of a conviction, <b>insofar as established directly therein</b> , the length of the period of exclusion:	- [.....]	- [.....]
2) By <b>other means</b> ? Please specify:	c2) [.....]	c2) [.....]
d) Has the economic operator fulfilled its obligations by paying or entering into a binding arrangement with a view to paying the taxes or social security contributions due, including, where applicable, any interest accrued or fines, prior to the deadline for submitting the application (Article 80, paragraph 4, last line of the Code)?	d) <input type="checkbox"/> Yes <input type="checkbox"/> No	d) <input type="checkbox"/> Yes <input type="checkbox"/> No
	<b>If yes</b> , please provide details: [.....]	<b>If yes</b> , please provide details: [.....]

If the relevant documentation concerning payment of taxes or social security contributions is available electronically, please indicate:	(web address, issuing authority or body, precise reference of the documentation) <sup>(21)</sup> : [.....][.....][.....]
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C: GROUNDS RELATING TO INSOLVENCY, CONFLICTS OF INTEREST OR PROFESSIONAL MISCONDUCT <sup>(22)</sup>

**Please note that, for the purpose of this procurement, some of the following exclusion grounds may have been defined more precisely, in national law, in the relevant notice or the procurement documents. Thus, national law may for instance provide that the notion of 'grave professional misconduct' may cover several different forms of conduct.**

Information concerning possible insolvency, conflict of interest or professional misconduct	Answer:
<p>Has the economic operator, <b>to its knowledge</b>, breached its <b>obligations</b> in the fields of <b>environmental, social and labour law</b> <sup>(23)</sup>, as referred to in Article 80, paragraph 5, letter a) of the Code?</p> <p><b>If yes</b>, has the economic operator taken measures to demonstrate its reliability despite the existence of this ground for exclusion ( 'Self-Cleaning', see Article 80, paragraph 7)?</p> <p><b>If yes</b>, indicate:</p> <p>1) Has the economic operator</p> <ul style="list-style-type: none"> <li>- fully compensated the damage?</li> <li>- formally undertaken to compensate the damage?</li> </ul> <p>2) Has the economic operator put in place the appropriate technical, organisational and staff management measures to prevent any further offences?</p>	<p>No</p> <p>[ ] Yes [ ] No</p> <p>[ ] Yes [ ] No</p> <p>[ ] Yes [ ] No</p> <p>[ ] Yes [ ] No</p> <p>If yes, please list the relevant documentation [ ] and, if available electronically, please indicate: (web address, issuing authority or body, precise reference of the documentation):</p> <p>[.....][.....][.....][.....]</p>
<p>Is the economic operator in any of the following situations or is it subject to any proceedings to ascertain any of the following situations referred in Article 80, paragraph 5, letter b) of the Code?</p> <p>a) Bankruptcy</p> <p><b>If yes:</b></p> <ul style="list-style-type: none"> <li>- Has the receiver been authorised to temporarily carry on the business and has said receiver been authorised by the bankruptcy judge to participate in procedures for the award of public contracts (Article 110, paragraph 3, letter a) of the Code)?</li> <li>- Does participation in the contract award procedure rely on the capacities of another economic operator (<i>avvalimento</i>), pursuant to Article 110, paragraph 5?</li> </ul> <p>b) Compulsory liquidation</p> <p>c) Arrangement with creditors</p> <p>d) Arrangement with creditors on a going concern basis</p> <p><b>If yes:</b></p>	<p>No</p> <p>[ ] Yes [ ] No</p> <p>If yes, please provide details of the measures</p> <p>[.....] [.....]</p> <p>[ ] Yes [ ] No</p> <p>If yes, please indicate the auxiliary undertaking</p> <p>[.....]</p> <p>No</p> <p>No</p> <p>No</p>

<sup>(21)</sup> Please repeat as many times as needed.

<sup>(22)</sup> See Article 57(4) of Directive 2014/24/EU.

<sup>(23)</sup> As referred to for the purposes of this procurement in national law, in the relevant notice or the procurement documents or in Article 18(2) of Directive 2014/24/EU.

<p>– Has the economic operator been authorised by the bankruptcy judge pursuant to Article 110, paragraph 3, letter a) of the Code?</p> <p>– Does participation in the contract award procedure rely on the capacities of another economic operator (<i>avvalimento</i>), pursuant to Article 110, paragraph 5?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes, please indicate the auxiliary undertaking [.....]</p>
<p>Is the economic operator guilty of <b>grave professional misconduct</b> <sup>(24)</sup> pursuant to Article 80, paragraph 5, letter c) of the Code?</p> <p>If yes, please provide details of the type of offence:</p>	<p>No</p> <p>[.....]</p>
<p>If yes, has the economic operator taken self-cleaning measures?</p> <p>If yes, indicate:</p> <p>1) Has the economic operator:</p> <ul style="list-style-type: none"> <li>- fully compensated the damage?</li> <li>- formally undertaken to compensate the damage?</li> </ul> <p>2) Has the economic operator put in place the appropriate technical, organisational and staff management measures to prevent any further offences?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes, please list the relevant documentation [ ] and, if available electronically, please indicate: (web address, issuing authority or body, precise reference of the documentation): [.....][.....][.....][.....]</p>
<p>Is the economic operator aware of any conflict of interest <sup>(25)</sup> due to its participation in the procurement procedure (Article 80, paragraph 5, letter d) of the Code)?</p> <p>If yes, please provide details on how the conflict of interest has been resolved:</p>	<p>No</p> <p>[.....]</p>
<p>Has the economic operator or an undertaking related to it advised the contracting authority or contracting entity or otherwise been involved in the preparation of the procurement procedure (Article 80, paragraph 5, letter e) of the Code)?</p> <p>If yes, please provide details on the measures adopted to prevent any distortion of competition:</p>	<p>No</p> <p>[.....]</p>
<p>Can the economic operator confirm that:</p> <p>a) it has not been guilty of serious misrepresentation in supplying the information required for verifying the absence of any grounds for exclusion or the satisfaction of the selection criteria,</p> <p>b) it has not withheld such information?</p>	<p>Yes</p> <p>Yes</p>

<sup>(24)</sup> See national law, the relevant notice or the procurement documents.

<sup>(25)</sup> As indicated in national law, the relevant notice or the procurement documents.

**D: OTHER EXCLUSION GROUNDS THAT MAY BE FORESEEN IN THE NATIONAL LEGISLATION OF THE CONTRACTING AUTHORITY'S OR CONTRACTING ENTITY'S MEMBER STATE**

<b>Purely national exclusion grounds</b> (Article 80, paragraph 2 and paragraph 5, letters f), g), h), i), l), m) of the Code and Article 53, paragraph 16-ter of Legislative Decree 165/2001)	<b>Answer:</b>
<p>Are there grounds for forfeiture, suspension or exclusion of the economic operator as provided for by Article 67 of Legislative Decree no. 159 dated 6 September 2011, or has there been an attempted mafia infiltration as referred to in Article 84, paragraph 4 of the same Decree, without prejudice to that provided in Article 88, paragraph 4-bis and Article 92, paragraphs 2 and 3 of Legislative Decree no. 159 dated 6 September 2011, with regard respectively to anti-mafia communications and information (Article 80, paragraph 2 of the Code)?</p>	<p>No</p> <p>If the relevant documentation is available electronically, please indicate: (web address, issuing authority or body, precise reference of the documentation):</p> <p>[.....][.....][.....] <sup>(26)</sup></p>
<p>Is the economic operator in any of the following situations?</p> <ol style="list-style-type: none"> <li>Has it been subject to a ban as referred to in Article 9, paragraph 2, letter c) of Legislative Decree no. 231 dated 8 June 2001 or any other sanction that would prohibit it from contracting with the public administration, including the prohibitions set out in Article 14 of Legislative Decree no. 81 dated 9 April 2008 (Article 80, paragraph 5, letter f)?</li> <li>Is it registered in the ANAC database for having been found guilty of misrepresentation or forgery aimed at obtaining qualification certification, for as long as it is registered (Article 80, paragraph 5, letter g)?</li> <li>Has it infringed the ban on fiduciary entrustment as referred to in Article 17 of Law no. 55 dated 19 March 1990 (Article 80, paragraph 5, letter h)?</li> </ol> <p>If yes:</p> <ul style="list-style-type: none"> <li>- please indicate the date of the final decree and the issuing authority or body:</li> <li>- has the infringement been removed?</li> </ul> <ol style="list-style-type: none"> <li>Does it comply with the provisions governing the right to work of disabled persons as set out in Law no. 68 dated 12 March 1999 (Article 80, paragraph 5, letter i)?</li> <li>Has it been the victim of any of the crimes punishable under Article 317 and Article 629 of the Italian Criminal Code, aggravated pursuant to Article 7 of Decree-Law no. 152 dated 13 May 1991, converted, with amendments, by Law no. 203 dated 12 July 1991?</li> </ol>	<p>No</p> <p>If the relevant documentation is available electronically, please indicate: web address, issuing authority or body, precise reference of the documentation:</p> <p>[.....][.....][.....]</p> <p>No</p> <p>If the relevant documentation is available electronically, please indicate: web address, issuing authority or body, precise reference of the documentation:</p> <p>[.....][.....][.....]</p> <p>No</p> <p>[.....][.....][.....]</p> <p>N/A</p> <p>If the relevant documentation is available electronically, please indicate: web address, issuing authority or body, precise reference of the documentation:</p> <p>[.....][.....][.....]</p> <p>It is not subject to the provisions of Law 68/1999 (foreign entity)</p> <p>If the relevant documentation is available electronically, please indicate: web address, issuing authority or body, precise reference of the documentation:</p> <p>[.....][.....][.....]</p> <p>If the economic operator is not subject to the provisions of Law 68/1999, please indicate the reasons why:</p> <p>(number employees and/or other ) [.....][.....][.....]</p> <p>No</p>

<sup>(26)</sup> Please repeat as many times as needed.

<p>If yes:</p> <ul style="list-style-type: none"> <li>- Has it reported the matter to the legal authorities?</li> <li>- Do the circumstances envisaged in Article 4, paragraph one of Law no. 689 dated 24 November 1981 (Article 80, paragraph 5 letter l) apply?</li> </ul>	<p>N/A</p> <p>N/A</p> <p>If the relevant documentation is available electronically, please indicate: web address, issuing authority or body, precise reference of the documentation:</p> <p>[.....][.....][.....]</p>
<p>6. Is it in a relationship of control with another participant in the same contract award procedure, as referred to in Article 2359 of the Italian Civil Code, or in any other relationship, including <i>de facto</i>, where such control or relationship means that the bids are attributable to a single decision-making centre (Article 80, paragraph 5, letter m)?</p>	<p>No</p>
<p>7. Does the economic operator find itself in the situation contemplated in Article 53, paragraph 16-ter of Legislative Decree 165/2001 (<i>pantouflage</i> or revolving door), having concluded employment or freelance contracts with and, in any case, having engaged former employees of the commissioning body whose employment with the latter terminated less than three years ago and who, during the last three years of service, exercised authorisation or negotiation powers on behalf of the commissioning body vis-a-vis the same economic operator?</p>	<p>No</p>

## Part IV: Selection criteria

Concerning the selection criteria (Section  $\alpha$  or Sections A to D of this Part), the economic operator declares that:

$\alpha$ : GLOBAL INDICATION FOR ALL SELECTION CRITERIA

**The economic operator should only fill in this field in case the contracting authority or contracting entity has indicated in the relevant notice or in the procurement documents referred to in the notice that the economic operator can limit itself to filling in Section  $\alpha$  of Part IV without having to fill in any other section of Part IV:**

Meeting all required selection criteria	Answer
It satisfies the required selection criteria:	<input type="checkbox"/> Yes <input type="checkbox"/> No

A: SUITABILITY (Article 83, paragraph 1, letter a) of the Code)

**The economic operator should only provide information where the selection criteria concerned have been required by the contracting authority or contracting entity in the relevant notice or in the procurement documents referred to in the notice.**

Suitability	Answer
<p><b>1) Is it enrolled in the relevant professional or trade registers kept in the Member State of establishment? <sup>(27)</sup></b></p> <p>If the relevant documentation is available electronically, please indicate:</p>	<p>[.....]</p> <p>(web address, issuing authority or body, precise reference of the documentation):</p> <p>[.....][.....][.....]</p>
<p><b>2) For service contracts:</b></p> <p>Is a particular <b>authorisation or membership</b> of a particular organisation (lists, registers, etc.) needed in order to be able to perform the service in question in the country of establishment of the economic operator?</p> <p>If the relevant documentation is available electronically, please indicate:</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes, please specify which and indicate whether the economic operator has it: [...] <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>(web address, issuing authority or body, precise reference of the documentation):</p> <p>[.....][.....][.....]</p>

<sup>(27)</sup> As described in Annex XI to Directive 2014/24/EU; economic operators from certain Member States may have to comply with other requirements set out in that Annex.

B: ECONOMIC AND FINANCIAL STANDING (Article 83, paragraph 1, letter b) of the Code)

The economic operator should only provide information where the selection criteria concerned have been required by the contracting authority or contracting entity in the relevant notice or in the procurement documents referred to in the notice.

Economic and financial standing	Answer:
<p>1a) Its ('general') <b>yearly turnover</b> for the number of financial years required in the relevant notice or the procurement documents is as follows:</p> <p><b>and/or,</b></p> <p>1b) Its <b>average yearly turnover for the number of financial years required in the relevant notice or the procurement documents is as follows</b> <sup>(28)</sup>:</p> <p>If the relevant documentation is available electronically, please indicate:</p>	<p>year: [.....] turnover: [.....] [...] currency year: [.....] turnover: [.....] [...] currency year: [.....] turnover: [.....] [...] currency</p> <p>(number of years, average turnover): [.....], [.....] [...] currency</p> <p>(web address, issuing authority or body, precise reference of the documentation): [.....][.....][.....]</p>
<p>2a) Its ('specific') yearly <b>turnover</b> in the <b>business area covered by the contract</b> and specified in the relevant notice or the procurement documents for the number of financial years required is as follows:</p> <p><b>and/or,</b></p> <p>2b) Its <b>average yearly turnover in the area and for the number of financial years required in the relevant notice or the procurement documents is as follows</b> <sup>(29)</sup>:</p> <p>If the relevant documentation is available electronically, please indicate:</p>	<p>year: [.....] turnover: [.....] [...] currency year: [.....] turnover: [.....] [...] currency year: [.....] turnover: [.....] [...] currency</p> <p>(number of years, average turnover): [.....], [.....] [...] currency</p> <p>(web address, issuing authority or body, precise reference of the documentation): [.....][.....][.....]</p>
<p>3) In case the information concerning turnover (general or specific) is not available for the entire period required, please state the date on which the economic operator was set up or started trading:</p>	<p>[.....]</p>
<p>4) Concerning the <b>financial ratios</b> <sup>(30)</sup> specified in the relevant notice or the procurement documents pursuant to Article 83, paragraph 4, letter b) of the Code, the economic operator declares that the actual value(s) for the required ratio(s) is/are as follows:</p> <p>If the relevant documentation is available electronically, please indicate:</p>	<p>(identification of the required ratio – ratio between x and y <sup>(31)</sup> – and the value) [.....], [.....] <sup>(32)</sup></p> <p>(web address, issuing authority or body, precise reference of the documentation): [.....][.....][.....]</p>
<p>5) The insured amount in its <b>professional risk indemnity insurance</b> is the following (Article 83, paragraph 4, letter c) of the Code):</p> <p>If this information is available electronically, please indicate:</p>	<p>[.....] [...] currency</p> <p>(web address, issuing authority or body, precise reference of the documentation): [.....][.....][.....]</p>
<p>6) Concerning the <b>other economic or financial requirements, if any</b>, that may have been specified in the relevant notice or the procurement documents, the economic operator declares</p>	<p>[.....]</p>

<sup>(28)</sup> Only if permitted in the relevant notice or the procurement documents.

<sup>(29)</sup> Only if permitted in the relevant notice or the procurement documents.

<sup>(30)</sup> E.g. the ratio between assets and liabilities.

<sup>(31)</sup> E.g. the ratio between assets and liabilities.

<sup>(32)</sup> Please repeat as many times as needed.

that:  If the relevant documentation that <b>may</b> have been specified in the relevant notice or the procurement documents is available electronically, please indicate:	(web address, issuing authority or body, precise reference of the documentation):  [.....][.....][.....]
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C: TECHNICAL AND PROFESSIONAL ABILITY (Article 83, paragraph 1, letter c) of the Code)

**The economic operator should only provide information where the selection criteria concerned have been required by the contracting authority or contracting entity in the relevant notice or in the procurement documents referred to in the notice.**

Technical and professional ability	Answer:								
<p>1a) For <b>public works contracts</b> only, during the reference period <sup>(33)</sup>, the economic operator <b>has performed the following works of the specified type:</b></p> <p>If the relevant documentation concerning satisfactory execution and outcome for the most important works is available electronically, please indicate:</p>	<p>Number of years (the period is specified in the relevant notice or the procurement documents): [...] Works: [.....]</p> <p>(web address, issuing authority or body, precise reference of the documentation): [.....][.....][.....]</p>								
<p>1b) For <b>public supply and public service contracts only:</b></p> <p>During the reference period, the economic operator <b>has delivered the following principal deliveries of the type specified or provided the following main services of the type specified:</b> When drawing up the list, please indicate the amounts, dates and recipients, whether public or private <sup>(34)</sup>:</p>	<p>Number of years (the period is specified in the relevant notice or the procurement documents): [.....]</p> <table border="1"> <thead> <tr> <th>Description</th><th>amounts</th><th>dates</th><th>recipients</th></tr> </thead> <tbody> <tr> <td> </td><td> </td><td> </td><td> </td></tr> </tbody> </table>	Description	amounts	dates	recipients				
Description	amounts	dates	recipients						
<p>2) It can call upon the following <b>technicians or technical bodies</b> <sup>(35)</sup>, especially those responsible for quality control:</p> <p>In the case of public works contracts, the economic operator will be able to call on the following technicians or technical bodies to carry out the work:</p>	<p>[.....]</p> <p>[.....]</p>								
<p>3) It uses the following <b>technical facilities and measures for ensuring quality</b> and its <b>study and research facilities</b> are as follows:</p>	<p>[.....]</p>								
<p>4) It will be able to apply the following <b>supply chain management and tracking systems</b> when performing the contract:</p>	<p>[.....]</p>								
<p>5) <b>For complex products or services to be supplied or, exceptionally, for products or services which are required for a specific purpose:</b></p> <p>Will the economic operator <b>allow checks</b> <sup>(36)</sup> to be conducted on the <b>production capacities</b> or <b>technical facilities</b> of the economic operator and, where necessary, on the <b>study and research facilities</b> which are available to it and on the <b>quality control measures</b>?</p>	<p>[ ] Yes [ ] No</p>								

<sup>(33)</sup> Contracting authorities may **require** up to five years and **allow** experience dating from **more** than five years.

<sup>(34)</sup> In other words, **all** recipients should be listed and the list should include both public clients and private clients for the supplies or services concerned.

<sup>(35)</sup> For technicians or technical bodies not belonging directly to the economic operator's undertaking but on whose capacities the economic operator relies as set out under Part II, Section C, separate ESPD forms must be filled in.

<sup>(36)</sup> The check is to be performed by the contracting authority or, in case the latter consents to this, on its behalf by a competent official body of the country in which the supplier or service provider is established.



<p>6) The following <b>educational and professional qualifications</b> are held by:</p> <p>a) The service provider or the contractor itself, <b>and/or</b> (depending on the requirements set out in the relevant notice or the procurement documents)</p> <p>b) Its technical and operational staff / working groups:</p>	<p>a) [.....]</p> <p>b) [.....]</p>
<p>7) The economic operator will be able to apply the following <b>environmental management measures</b> when performing the contract:</p>	<p>[.....]</p>
<p>8) The economic operator's <b>average annual manpower</b> and the number of managerial staff for the last three years were as follows:</p>	<p>Year, average annual manpower: [.....],[.....], [.....],[.....], [.....],[.....], Year, number of managerial staff [.....],[.....], [.....],[.....], [.....],[.....],</p>
<p>9) The following <b>tools, plant or technical equipment</b> will be available to it for performing the contract:</p>	<p>[.....]</p>
<p>10) The economic operator <b>intends possibly to subcontract</b> <sup>(37)</sup> the following <b>proportion (i.e. percentage)</b> of the contract:</p>	<p>[.....]</p>
<p>11) <b>For public supply contracts:</b></p> <p>The economic operator will supply the required samples, descriptions or photographs of the products to be supplied, which do not need to be accompanied by certifications of authenticity;</p> <p>Where applicable, the economic operator furthermore declares that it will provide the required certificates of authenticity.</p> <p>If the relevant documentation is available electronically, please indicate:</p>	<p>[ ] Yes [ ] No</p> <p>[ ] Yes [ ] No</p> <p>(web address, issuing authority or body, precise reference of the documentation): [.....][.....][.....]</p>
<p>12) <b>For public supply contracts:</b></p> <p>Can the economic operator provide the required <b>certificates</b> drawn up by <b>official quality control institutes or agencies</b> of recognised competence attesting the conformity of products clearly identified by references to the technical specifications or standards, which are set out in the relevant notice or the procurement documents?</p> <p><b>If not</b>, please explain why and state which other means of proof can be provided:</p> <p>If the relevant documentation is available electronically, please indicate:</p>	<p>[ ] Yes [ ] No</p> <p>[.....]</p> <p>(web address, issuing authority or body, precise reference of the documentation): [.....][.....][.....]</p>
<p>13) Concerning the <b>other technical and professional requirements</b>, if any, specified in the relevant notice or the</p>	<p>[.....]</p>

<sup>(37)</sup> If the economic operator **has** decided to subcontract a part of the contract **and** relies on the subcontractor's capacities to perform that part, please fill in a separate ESPD for each subcontractor, see Part II, Section C.

procurement documents, the economic operator declares that:  If the relevant documentation that <b>may</b> have been specified in the relevant notice or the procurement documents is available electronically, please indicate:	(web address, issuing authority or body, precise reference of the documentation):  [.....][.....][.....]
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D: QUALITY ASSURANCE SCHEMES AND ENVIRONMENTAL MANAGEMENT STANDARDS (ARTICLE 87 OF THE CODE)

**The economic operator should only provide information where quality assurance schemes and/or environmental management standards have been required by the contracting authority or contracting entity in the relevant notice or in the procurement documents referred to in the notice.**

<b>Quality Assurance Schemes and Environmental Management Standards</b>	<b>Answer:</b>
<p>Will the economic operator be able to produce <b>certificates</b> drawn up by independent bodies attesting that the economic operator complies with the required <b>quality assurance standards</b>, including accessibility for disabled persons?</p> <p><b>If not</b>, please explain why and specify which other means of proof concerning the quality assurance scheme can be provided:</p> <p>If the relevant documentation is available electronically, please indicate:</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>[.....] [.....]</p> <p>(web address, issuing authority or body, precise reference of the documentation): [.....][.....][.....]</p>
<p>Will the economic operator be able to produce <b>certificates</b> drawn up by independent bodies attesting that the economic operator complies with the required <b>environmental management systems or standards</b>?</p> <p><b>If not</b>, please explain why and specify which other means of proof concerning the <b>environmental management systems or standards</b> can be provided:</p> <p>If the relevant documentation is available electronically, please indicate:</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>[.....] [.....]</p> <p>(web address, issuing authority or body, precise reference of the documentation): [.....][.....][.....]</p>

## Part V: Reduction of the number of qualified candidates (ARTICLE 91 OF THE CODE)

The economic operator should only provide information where the contracting authority or contracting entity has specified the objective and non-discriminatory criteria or rules to be applied in order to limit the number of candidates that will be invited to tender or to conduct a dialogue. This information, which may be accompanied by requirements concerning the (types of) certificates or forms of documentary evidence, if any, to be produced, is set out in the relevant notice or in the procurement documents referred to in the notice.

For restricted procedures, competitive procedures with negotiation, competitive dialogue procedures and innovation partnerships only:

The economic operator declares that:

Reduction of numbers	Answer:
<p>It <b>meets</b> the objective and non-discriminatory criteria or rules to be applied in order to limit the number of candidates in the following way:</p> <p>In case certain certificates or other forms of documentary evidence are required, please indicate for <b>each</b> whether the economic operator has the required documents:</p> <p>If some of these certificates or other forms of documentary evidence are available electronically <sup>(38)</sup>, please indicate for <b>each</b>:</p>	<p>[.....]</p> <p>[ ] Yes [ ] No <sup>(39)</sup></p> <p>(web address, issuing authority or body, precise reference of the documentation):</p> <p>[.....][.....](<sup>(40)</sup>)</p>

## Part VI: Concluding statements

The undersigned formally declare that the information stated under Parts II – V above is accurate and correct and that it has been set out in full awareness of the consequences of serious misrepresentation, pursuant to Article 76 of Presidential Decree 445/2000.

Without prejudice to the provisions of Articles 40, 43 and 46 of Presidential Decree 445/2000, the undersigned formally declare to be able, upon request and without delay, to provide the certificates and other forms of documentary evidence referred to, except where:

a) The contracting authority or contracting entity has the possibility of obtaining the supporting documentation concerned directly by accessing a national database in any Member State that is available free of charge <sup>(41)</sup>, or

b) As of 18 April 2018 at the latest <sup>(42)</sup>, the contracting authority or contracting entity already possesses the documentation concerned.

The undersigned formally consent to [identify the contracting authority or contracting entity as set out in Part I, Section A] gaining access to documents supporting the information, which has been provided in [identify the Part/Section/Point(s) concerned] of this European Single Procurement Document for the purposes of [identify the procurement procedure: (summary description, reference of publication in the Official Journal of the European Union, reference number)].

Date, place and, where required or necessary, signature(s): [.....]

<sup>(38)</sup> Please indicate clearly, which item the answer relates to.

<sup>(39)</sup> Please repeat as many times as needed.

<sup>(40)</sup> Please repeat as many times as needed.

<sup>(41)</sup> On condition that the economic operator has provided the necessary information (web address, issuing authority or body, precise reference of the documentation) allowing the contracting authority or contracting entity to do so. Where required, this must be accompanied by the relevant consent to such access.

<sup>(42)</sup> Depending on the national implementation of the second subparagraph of Article 59(5) of Directive 2014/24/EU.